

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.:

10/711,829

Application of:

Whitesides, et al.

Confirmation No.:

5828

Filed:

October 7, 2004

Group Art Unit:

2673

Examiner:

Not Yet Known

Attorney Docket No.:

H-396

Customer No.:

26245

Cambridge, Massachusetts March 20, 2006

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

Sir:

The applicant is filing this Information Disclosure Statement to make of record US and foreign patents and applications, and non-patent references. The references it is desired to make of record are listed on the accompanying Forms.

With one exception, all of the references filed herewith are in the English language, or an English Abstract is provided, so that, pursuant to 37 CFR 1.98(a)(3), no statement of the relevance of these references is required. The exception is DOS 25 73 763, which was cited by way of background art in the International Search Report WO 2003/107315 A3; a copy of this International Search Report is enclosed. As shown in the drawing, this DOS describes an active matrix liquid crystal display in which each pixel is provided with an array of three capacitors (3, 4, 5) so that after information has been written to the display during a first period, the field on the pixel is maintained during at least one subsequent period (see the characterizing clause of claim 1).

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The Examiner is respectfully asked to note that, for the convenience of both the Examiner and the undersigned attorney, certain prior art documents mentioned in the application have been replaced by their US equivalents or other convenient equivalents, as follows:

Document not supplied	Alternative supplied
US20020019081	US6825068
US20020021270	US6816147
US20020075556	US6788449
US20020106847	US6683333
US20020131147	US6866760
US20020171910	US6870661
US20020180688	US6864875
US20020185378	US6822782
US20030020844	US6967640
US20030025855	US6831769
US20030038755	US6819471
US20030053189	US6825970
US20030137717	US6839158
US20030214695	US6950220
US20030214697	US6865010
US20040008398	US6842279
US20040012839	US6958848
WO 00/38001	US6724519
WO 00/52102	US6398858
WO 00/53681	US6221143
WO 01/08241	US6842657
WO 01/27690	US6870657
WO 02/01281	US6672921

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Document not supplied	Alternative supplied

WO 2004/023195 US2004/0155857

WO 2004/049045 US2004/0180476

WO 96/18695 US5554739/US5571311

WO 99/10767 US6067185

WO 99/67678 EP1145072B1

In accordance with 37 CFR 1.98(a)(2) no copies of the listed U.S. patents and applications are being filed. Furthermore, only copies of the following References are being filed herewith since the remaining foreign patents and non-patent references are readily available to the Examiner in the file of the parent Application Serial No. 10/708,130:

References C2, C9, C11, C19, C21, C28-30, C36, C41, C56, C64, C72, C74, C78.

Also filed is a copy of the corresponding International Search Report and Written Opinion of the International Searching Authority, issued August 8, 2005.

Since this Information Disclosure Statement is being filed after the issue of an Office Action in this case, but before issue of a Notice of Allowance, the fee prescribed by 37 CFR 1.17(p) is payable and is included on the enclosed Fee Transmittal.

Respectfully submitted,

15.Col

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DJC/grw

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Using as many sheets as necessary)

Sheet: 26

Complete if Known					
Application Number	10/711,829				
Filing Date	October 7, 2004				
First Named Inventor	Whitesides				
Art Unit	2673				
Examiner Name	Choi, William C.				
Attorney Docket No.	H-396				

U.S. Patent Documents							
Examiner Initials*	Cite No. *1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear		
	A1	H1828	01/04/2000	Wong et al.			
	A2	20050151709	07/14/2005	Jacobson et al.			
	А3	20050122563	06/09/2005	Honeyman et al.			
	A4	20050067656	03/31/2005	Denis et al.			
	A5	20050062714	03/24/2005	Zehner et al.			
	A6	20050041004	02/24/2005	Gates et al.			
	A7	20050035941	02/17/2005	Albert et al.			
	A8	20050024353	02/03/2005	Amundson et al.			
	A9	20050018273	01/27/2005	Honeyman et al.			
	A10	20050017944	01/27/2005	Albert			
	A11	20050012980	01/20/2005	Wilcox et al.			
	A12	20050007653	01/13/2005	Honeyman et al.			
	A13	20050007336	01/13/2005	Albert et al.			
	A14	20050001812	01/06/2005	Amundson et al.	·		
	A15	20050000813	01/06/2005	Pullen et al.			
	A16	20040263947	12/30/2004	Drzaic et al.			
	A17	20040257635	12/23/2004	Paolini, Jr. et al.			
	A18	20040252360	12/16/2004	Webber et al.			
	A19	20040239614	12/02/2004	Amundson et al.			
	A20	20040226820	11/18/2004	Webber et al.			
	A21	20040196215	10/07/2004	Duthaler et al.			
	A22	20040190114	09/30/2004	Jacobson et al.			
	A23	20040180476	09/16/2004	Kazlas et al.			
	A24	20040155857	08/12/2004	Duthaler et al.			
	A25	20040119681	06/24/2004	Albert et al.			
	A26	20040112750	06/17/2004	Jacobson et al.			
	A27	20040105036	06/03/2004	Danner et al.			
	A28	20040094422	05/20/2004	Pullen et al.			
	A29	20040075634	04/22/2004	Gates			
	A30	20040027327	02/12/2004	LeCain et al.			

Examiner		
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*1Applicant's unique citation designation number (optional). *2Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Complete if Known Substitute for form 1449/PTO Application Number 10/711,829 October 7, 2004 Filing Date **INFORMATION DISCLOSURE** First Named Inventor Whitesides

l	51	IAIL	MENIR	Y APPLICAN	Art Unit	2673	
L	(Using as many sheets as necessary)				Examiner Name	Choi, William C.	
	Sheet:	2	of	26	Attorney Docket No.	H-396	

U.S. Patent Documents							
Examiner Initials*	Cite No. *1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant		
	A31	20040027327	02/12/2004	LeCain et al.	Passages or Figures Appear		
_	A32	20040014265	01/22/2004	Kazlas et al.			
	A33	20030222315	12/04/2003	Amundson et al.			
_	A34	20030222315	12/04/2003	Amundson et al.	. · · · · · · · · · · · · · · · · · · ·		
	A35	20030214695	11/20/2003	Abramson et al.			
	A36	20030151702	08/14/2003	Morrison et al.			
	A37	20030137521	07/24/2003	Zehner et al.			
	A38	20030132908	07/17/2003	Herb et al.			
	A39	20030102858	06/05/2003	Jacobson et al.			
	A40	20030011560	01/16/2003	Albert et al.			
	A41	20020180687	12/05/2002	Webber			
	A42	20020130832	09/19/2002	Baucom et al.			
	A43	20020113770	08/22/2002	Jacobson et al.	,		
	A44	20020090980	07/11/2002	Wilcox et al.			
	A45	20020063661	05/30/2002	Comiskey et al.			
	A46	20020060321	05/23/2002	Kazlas et al.			
	A47	6967640	11/22/2005	Albert et al.			
	A48	6958848	10/25/2005	Cao et al.			
	A49	6950220	09/27/2005	Abramson et al.			
	A50	6922276	07/26/2005	Zhang et al.			
	A51	6870661	03/22/2005	Pullen et al.			
	A52	6870657	03/22/2005	Fitzmaurice et al.			
	A53	6866760	03/15/2005	Paolini, Jr. et al.			
	A54	6865010	03/08/2005	Duthaler et al.			
	A55	6864875	03/08/2005	Drzaic et a.			
	A56	6859302	02/22/2005	Liang et al.			
	A57	6842657	01/11/2005	Drzaic et al.			
	A58	6842279	01/11/2005	Amundson			
	A59	6842167	01/11/2005	Albert et al.			
	A60	6839158	01/04/2005	Albert et al.			

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				Application Number	10/711,829	
INFORMATION DISCLOSURE				Filing Date	October 7, 2004	
				First Named Inventor	Whitesides	
S	STATEMENT BY APPLICANT		Art Unit	2673		
(Using as many sheets as necessary)		Examiner Name	Choi, William C.			
Sheet:	3	of	26	Attorney Docket No.	H-396	

U.S. Patent Documents						
Examiner Initials*	Cite No. *1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear	
	A61	6831769	12/14/2004	Holman et al.		
	A62	6825970	11/30/2004	Goenaga et al.		
	A63	6825829	11/30/2004	Albert et al.		
	A64	6825068	11/30/2004	Denis et al.		
	A65	6822782	11/23/2004	Honeyman et al.		
	A66	6819471	11/16/2004	Amundson et al.		
	A67	6816147	11/09/2004	Albert		
	A68	6788449	09/07/2004	Liang et al.		
	A69	6753999	06/22/2004	Zehner et al.		
	A70	6727881	04/27/2004	Albert et al.		
	A71	6724519	04/20/2004	Comiskey et al.		
	A72	6721083	04/13/2004	Jacobson et al.		
	A73	6710540	03/23/2004	Albert et al.		
	A74	6704133	03/09/2004	Gates et al.		
	A75	6693620	02/17/2004	Herb et al.		
	A76	6683333	01/27/2004	Kazlas et al.		
	A77	6680725	01/20/2004	Jacobson		
	A78	6672921	01/06/2004	Liang et al.		
	A79	6664944	12/16/2003	Albert et al.	· · · · · · · · · · · · · · · · · · ·	
	A80	6657772	12/02/2003	Loxley		
	A81	6639578	10/28/2003	Comiskey et al.		
	A82	6580545	06/17/2003	Morrison et al.		
	A83	6545291	04/08/2003	Amundson et al.		
	A84	6538801	03/25/2003	Jacobson et al.		
	A85	6535197	03/18/2003	Comiskey et al.		
	A86	6531997	03/11/2003	Gates et al.		
	A87	6521489	02/18/2003	Duthaler et al.		
	A88	6518949	02/11/2003	Drzaic		
	A89	6515649	02/04/2003	Albert et al.		
	A90	6512354	01/28/2003	Jacobson et al.		

Examiner	
Signature	Date Considered

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l in	FORMA	TION	DISCLOSURE	Filing Date	October 7, 2004	
				First Named Inventor	Whitesides	
5	STATEMENT BY APPLICANT		Art Unit	2673		
(Using as many sheets as necessary)		Examiner Name	Choi, William C.			
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U.S. Patent Documents							
Examiner Initials*	Cite No. *1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear		
	A91	6506438	01/14/2003	Duthaler et al.			
	A92	6504524	01/07/2003	Gates et al.	***		
	A93	6498114	12/24/2002	Amundson et al.			
	A94	6480182	11/12/2002	Turner et al.			
	A95	6473072	10/29/2002	Comiskey et al.			
	A96	6459418	10/01/2002	Comiskey et al.			
	A97	6445489	09/03/2002	Jacobson et al.			
	A98	6445374	09/03/2002	Albert et al.			
	A99	6422687	07/23/2002	Jacobson			
	A100	6413790	07/02/2002	Duthaler et al.			
	A101	6398858	06/04/2002	Yu et al.			
	A102	6392786	05/21/2002	Albert			
	A103	6392785	05/21/2002	Albert et al.			
	A104	6377387	04/23/2002	Duthaler et al.			
	A105	6376828	04/23/2002	Comiskey			
	A106	6327072	12/04/2001	Comiskey et al.	· · · · · · · · · · · · · · · · · · ·		
	A107	6323989	11/27/2001	Jacobson et al.			
	A108	6312971	11/06/2001	Amundson et al.			
	A109	6312304	11/06/2001	Duthaler et al.			
	A110	6301038	10/09/2001	Fitzmaurice et al.			
	A111	6301038	10/09/2001	Fitzmaurice et al.			
	A112	6300932	10/09/2001	Albert			
	A113	6271823	08/07/2001	Gordon , II et al.			
	A114	6262833	07/17/2001	Loxley et al.			
	A115	6262706	07/17/2001	Albert et al.			
	A116	6252564	06/26/2001	Albert et al.			
	A117	6249271	06/19/2001	Albert et al.			
	A118	6241921	06/05/2001	Jacobson et al.			
	A119	6235829	05/22/2001	Kwan			
	A120	6232950	05/15/2001	Albert et al.			

Examiner		$\overline{}$
Signature	Date Considered	

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Substitute for form 1449/PTO Complete if Known 10/711,829 Application Number October 7, 2004 Filing Date **INFORMATION DISCLOSURE** First Named Inventor Whitesides STATEMENT BY APPLICANT 2673 Art Unit (Using as many sheets as necessary) **Examiner Name** Choi, William C. Sheet: of

Attorney Docket No.

H-396

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	U.S. Patent Documents							
Examiner Initials*	Cite No. *1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear			
-	A121	6225971	05/01/2001	Gordon , II et al.	rassages of rigures Appear			
		6221143	04/24/2001	Palumbo	<u> </u>			
		6215920	04/10/2001	Whitehead et al.				
		6197883	03/06/2001	Schimmel et al.				
		6191225	02/20/2001	Barkac et al.				
		6184856	02/06/2001	Gordon , II et al.				
		6177921	01/23/2001	Comiskey et al.				
		6172798	01/09/2001	Albert et al.	<u> </u>			
		6162882	12/19/2000	Matyjaszewski et al.				
		6153705	11/28/2000	Coupart et al.				
		6147791	11/14/2000	Sheridon				
		6144361	11/07/2000	Gordon , II et al.				
		6137467	10/24/2000	Sheridon et al.				
	+	6137012	10/24/2000	Fagan et al.				
		6130774	10/10/2000	Albert et al.				
		6130773	10/10/2000	Jacobson et al.				
	A137	6128124	10/03/2000	Silverman				
	A138	6124851	09/26/2000	Jacobson				
	A139	6124411	09/26/2000	Matyjaszewski et al.				
	A140	6121371	09/19/2000	Matyjaszewski et al.				
	A141	6120839	09/19/2000	Comiskey et al.				
	A142	6120588	09/19/2000	Jacobson				
	A143	6118426	09/12/2000	Albert et al.	****			
	A144	6117368	09/12/2000	Hou				
	A145	6113810	09/05/2000	Hou et al.				
	A146	6111022	08/29/2000	Matyjaszewski et al.				
	A147	6103380	8/15/00	Devonport				
	A148	6097531	08/01/2000	Sheridon				
	A149	6091382	7/18/00	Shioya et al.				
	A150	6071980	06/06/2000	Guan et al.				

Examiner	
Signature	Date Considered

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IN	FORM	ΔΤΙΩΝ	I DISCLOSURE	Filing Date	October 7, 2004
				First Named Inventor	Whitesides
S	STATEMENT BY APPLICANT		Art Unit	2673	
	(Using a	as many sh	eets as necessary)	Examiner Name	Choi, William C.
Sheet:	6	of	26	Attorney Docket No.	H-396

U.S. Patent Documents							
Examiner Initials*	Cite No. *1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear		
	A151	6069205	05/30/2000	Wang			
	A152	6068688	05/30/2000	Whitehouse et al.			
	A153	6067185	05/23/2000	Albert et al.			
	A154	6064784	05/16/2000	Whitehead et al.			
	A155	6055180	04/25/2000	Gudesen et al.			
	A156	6055091	04/25/2000	Sheridon et al.			
	A157	6054071	04/25/2000	Mikkelsen, Jr.			
	A158	6025896	02/15/2000	Hattori et al.			
	A159	6017584	01/25/2000	Albert et al.			
	A160	5986015	11/16/1999	Midha et al.			
	A161	5968243	10/19/99	Belmont et al.			
	A162	5964935	10/12/99	Chen et al.			
	A163	5961804	10/05/1999	Jacobson et al.			
	A164	5958999	09/28/1999	Bates et al.			
	A165	5945491	08/31/1999	Matyjaszewski et al.			
	A166	5932633	8/3/99	Chen et al.			
	A167	5930026	07/27/1999	Jacobson et al.			
_	A168	5922118	7/13/99	Johnson et al.			
	A169	5914806	6/22/99	Gordon , II et al.			
	A170	5900858	5/4/99	Richley			
	A171	5895522	4/20/99	Belmont et al.			
-	A172	5885335	3/23/99	Adams et al.			
	A173	5872552	02/16/1999	Gordon , II et al.			
	A174	5851280	12/22/98	Belmont et al.			
	A175	5843259	12/1/98	Narang et al.			
	A176	5837045	11/17/98	Johnson et al.			
	A177	5828432	10/27/98	Shashidhar et al.			
	A178	5825529	10/20/98	Crowley			
	A179	5808783	09/15/1998	Crowley			
	A180	5807937	09/15/1998	Matyjaszewski et al.			

Examiner	 		
Signature		Date Considered	
<u> </u>	 		

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Substitute for	form 1449/	РТО		Con	nplete if Known
				Application Number	10/711,829
IN	FORM	ΙΔΤΙΩΝ	DISCLOSURE	Filing Date	October 7, 2004
	INFORMATION DISCLOSURE		First Named Inventor	Whitesides	
S	TATEN	MENT B	BY APPLICANT	Art Unit	2673
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Sheet:	7	of	26	Attorney Docket No.	H-396

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		5803959	9/8/98	Johnson et al.	r assages of rigures Appear		
		5789487	08/04/1998	Matyjaszewski et al.			
		5783614	7/21/98	Chen et al.			
		5777782	07/07/1998	Sheridon			
		5767826	06/16/1998	Sheridon et al.			
	A186	5763548	06/09/1998	Matyjaszewski et al.			
		5760761	06/02/1998	Sheridon			
	A188	5759671	6/2/98	Tanaka et al.			
	A189	5754332	05/19/1998	Crowley			
	A190	5753763	5/19/98	Rao et al.			
·	A191	5751268	05/12/1998	Sheridon			
	A192	5747562	5/5/98	Mahmud et al.			
	A193	5745094	04/28/1998	Gordon , II et al.			
	A194	5744283	04/28/1998	Spierings et al.			
	A195	5740495	4/14/98	Maher et al.			
	A196	5739801	04/14/1998	Sheridon			
	A197	5737115	04/07/1998	Mackinlay et al.			
	A198	5729632	03/17/1998	Tai			
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	A200	5717515	02/10/1998	Sheridon			
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SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Substitute for	form 1449	/PTO		Con	nplete if Known
				Application Number	10/711,829
IN		AATIAN	I DISCLOSURE	Filing Date	October 7, 2004
				First Named Inventor	Whitesides
S	STATEMENT BY APPLICANT			Art Unit	2673
	(Usin	g as many sh	neets as necessary)	Examiner Name	Choi, William C.
Sheet:	8	of	26	Attorney Docket No.	H-396

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Examiner Initials*	Cite No. *1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear		
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Substitute for form 1449/PTO	Con	Complete if Known	
	Application Number	10/711,829	
INFORMATION DISCLOSURE	Filing Date	October 7, 2004	
	First Named Inventor	Whitesides	
STATEMENT BY APPLICANT	Art Unit	2673	
(Using as many sheets as necessary)	Examiner Name	Choi, William C.	
Shoot: 9 of 26	Attorney Docket No.	H_396	

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Examiner nitials*	Cite No. *1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear
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Complete if Known Substitute for form 1449/PTO 10/711,829 Application Number October 7, 2004 Filing Date **INFORMATION DISCLOSURE** Whitesides First Named Inventor **STATEMENT BY APPLICANT** 2673 Art Unit Choi, William C. (Using as many sheets as necessary) Examiner Name

Attorney Docket No.

H-396

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Sheet:

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Examiner Cite Initials* No. *1 Document Number Publication Date		Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear	
initials	+	Document Number 5279773	1/18/94	Saxe	Passages of Figures Appear
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Signature	Date Considered

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application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.

Substitute for form 1449/PTO	Cor	nplete if Known
	Application Number	10/711,829
INFORMATION DISCLOS	Filing Date	October 7, 2004
	First Named Inventor	Whitesides
STATEMENT BY APPLIC	ANT Art Unit	2673
(Using as many sheets as necessary)	Examiner Name	Choi, William C.
Sheet: 11 of: 26	Attorney Docket No.	H-396

	U.S. Patent Documents				
Examiner Initials*	Cite No. *1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear
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Examiner				
Signature		Date Considered		

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Substitute for for	m 1449/PTO		Con	nplete if Known
			Application Number	10/711,829
INE	ORMATIC	ON DISCLOSURE	Filing Date	October 7, 2004
			First Named Inventor	Whitesides
STA	TEMENT	BY APPLICANT	Art Unit	2673
	(Using as man	y sheets as necessary)	Examiner Name	Choi, William C.
Sheet:	12 of:	26	Attorney Docket No.	H-396

U.S. Patent Documents					
Examiner Initials*	Cite No. *1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear
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Examiner	
Signature	Date Considered

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Substitute for	form 1449	/PTO		Con	Complete if Known		
				Application Number	10/711,829		
INFORMATION DISCLOSURE				Filing Date	October 7, 2004		
				First Named Inventor	Whitesides		
S	TATE	MENT B	SY APPLICANT	Art Unit	2673		
	(Usin	as many sh	eets as necessary)	Examiner Name	Choi, William C.		
Sheet:	13	of:	26	Attorney Docket No.	H-396		

U.S. Patent Documents

Examiner Initials*	Cite No. *1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear
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Signature	Date Considered

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Substitute for form 1449/PTO Complete if Known Application Number 10/711,829 October 7, 2004 Filing Date **INFORMATION DISCLOSURE** First Named Inventor Whitesides STATEMENT BY APPLICANT Art Unit 2673 (Using as many sheets as necessary) Choi, William C. Examiner Name

Attorney Docket No.

H-396

U.S. Patent Documents								
Examiner Initials*	Cite No. *1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear			
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Examiner Signature			**	Date Considered				

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Substitute for form 1449/PTO	Complete if Known		
	Application Number	10/711,829	
INFORMATION DISCLOSURE	Filing Date	October 7, 2004	
	First Named Inventor	Whitesides	
STATEMENT BY APPLICANT	Art Unit	2673	
(Using as many sheets as necessary)	Examiner Name	Choi, William C.	
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Examiner Initials*	Cite No.	Document Number Country Code - Number - Kind Code (if known)		Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear	T*2		
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	B16	JP	02-141730A	5/31/90	Hitachi				
	B17	JP	02-189525A	7/25/90	Hitachi				
	B18	JP	02-223934A	9/6/90	Nippon Mektron				
	B19	JР	02-223935A	9/6/90	Nippon Mektron				
	B20	JP	02-223936A	9/6/90	Nippon Mektron				
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SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Substitute for	r form 1449	/PTO		Complete if Known		
				Application Number	10/711,829	
	INFOR	MATIC	ON DISCLOSURE	Filing Date	October 7, 2004	
1				First Named Inventor	Whitesides	
	SIAII	=MFN I	BY APPLICANT	Art Unit	2673	
	(U:	sing as man	y sheets as necessary)	Examiner Name	Choi, William C.	
Sheet:	16	of:	26	Attorney Docket No.	H-396	

Foreign Patent Documents								
Examiner Initials*	Cite No.	Co	Document Number untry Code - Number - Kind Code (if known)	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear	T*2	
	B21	JP	02-284124A	11/21/90	Nippon Mektron			
	B22	JP	02-284125A	11/21/90	Nippon Mektron			
	B23	JP	03-258866A	11/19/1991	Shin Etsu			
	B24	JP	05-061421A	3/12/93	Nippon Mektron			
	B25	JP	05-165064A	6/29/93	Nippon Mektron			
	B26	JP	05-173193A	7/13/93	Dai Nippon			
	B27	JP	05-173194A	7/13/93	Nippon Mektron			
	B28	JP	05-307197A	11/19/93	Nippon Mektron			
	B29	JP	06-089081A	3/29/94	Sony Tektronix			
	B30	JP	06-202168A	7/22/94	Nippon Mektron			
	B31	JP	07-036020A	2/7/95	Toray			
	B32	JP	07-146660A	6/6/95	NOK			
	B33	JP	08-234176A	9/13/96	Fuji Xerox			
	B34	JP	09-006277A	1/10/97	NOK			
	B35	JP	09-185087A	7/13/97	NOK			
	B36	JP	09-230391A	9/5/97	Fujikura			
	B37	JP	10-048673A	2/20/98	Fuji Xerox			
	B38	JP	10-149118A	6/2/98	NOK			
	B39	JP	10-161161A	6/19/98	Fuji Xerox			
	B40	JP	2000-066248	3/3/00	Sony			

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Substitute for form 1449/PTO Complete if Known 10/711,829 Application Number Filing Date October 7, 2004 **INFORMATION DISCLOSURE** First Named Inventor Whitesides STATEMENT BY APPLICANT Art Unit 2673 (Using as many sheets as necessary) Examiner Name Choi, William C. Sheet: 17 Attorney Docket No. H-396

	_	,	F	oreign Patent D	ocuments		
Examiner Initials*	Cite No.	Dogument Number		Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear	T ⁻²
	B41	JP	2000-227612	8/15/00	Ricoh		
	B42	JP	51-130241A	11/12/76	Stanley Electric		
	B43	JP	53-073098	6/29/78	Matsushita		
	B44	JP	54-111368A	8/31/79	NEC		
	B45	JP	55-096922A	7/23/80	Sharp		
	B46	JP	55-105227A	8/12/80	Seiko Epson		
	B47	JP	59-098227A	6/6/84	Sharp		
	B48	JP	59-165028A	9/18/84	Nippon Paint		
	B49	JP	60-189731A	9/27/85	Nippon Paint		
	B50	JP	62-058222A	3/13/87	Ricoh		
	B51	JР	62-183439A	8/11/87	Ricoh		
	B52	JP	62-231930A	10/12/87	Stanley Electric		
	B53	JP	62-269124A	11/21/87	Ricoh		
	B54	JР	62-299824A	12/26/87	Ricoh		
	B55	JP	63-008637A	1/14/88	Ricoh		
	B56	JР	64-086118	03/30/1989	Nippon Sheet Glass		
	B57	JP	64-86116	3/30/89	Nippon Mektron		
	B58	РСТ	WO 00/05312	2/3/00	Cabot		
	B59	РСТ	WO 00/05704	02/03/2000	E Ink Corporation	1,500	
-	B60	РСТ	WO 00/22051	4/20/00	Cabot		

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	Signature	Date Considered

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INFORMATION DISCLOSURE				First Named Inventor	Whitesides	
	STAT	EMENT	BY APPLICANT	Art Unit	2673	
	(U	sing as many	y sheets as necessary)	Examiner Name	Choi, William C.	
Sheet:	18	of:	26	Attorney Docket No.	H-396	

			F	oreign Patent D	ocuments		
Examiner Initials*	r Cite No. Document Number Country Code - Number - Kind Code (if known)		Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear	T *2	
	B61	РСТ	WO 00/36560	06/22/2000	E Ink Corporation		
	B62	РСТ	WO 00/38000	06/29/2000	E Ink Corporation		
	B63	РСТ	WO 00/67110	11/09/2000	E Ink Corporation		
	B64	РСТ	WO 00/67327	11/09/2000	E Ink Corporation		
	B65	РСТ	WO 01/07961	02/01/2001	E Ink Corporation		
	B66	РСТ	WO 01/92359	12/06/2001	Creavis	-	
	B67	РСТ	WO 02/21201	03/14/2002	Cabot		
	B68	РСТ	WO 03/107315	12/24/2003	E Ink Corporation		
	B69	РСТ	WO 82/02961	9/2/82	Patscentre Benelux		
	B70	РСТ	WO 95/33085	12/07/1995	Copytele		
	B71	PCT	WO 99/12170	3/11/99	Opticom		
	B72	PCT	WO 99/26419	5/27/99	Tangen et al.		
	B73	РСТ	WO 99/51690	10/14/99	Cabot		
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Substitute for form 1449/PTO	Cor	mplete if Known
	Application Number	10/711,829
INFORMATION DISCLOS	Filing Date	October 7, 2004
+	First Named Inventor	Whitesides
STATEMENT BY APPLIC	CANT Art Unit	2673
(Using as many sheets as necessar	() Examiner Name	Choi, William C.
Sheet: 19 of: 26	Attorney Docket No.	H-396

		NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. *1	Document Citation	T*2
	C1	Amundson, K., et al., "Flexible, Active-Matrix Display Constructed Using a Microencapsulated Electrophoretic Material and an Organic-Semiconductor-Based Backplane", SID 01 Digest, 160 (June 2001)	
	C2	Au, J. et al., "Ultra-Thin 3.1-in. Active-Matrix Electronic Ink Display for Mobile Devices", IDW'02, 223 (2002)	
	СЗ	Bach, U., et al., "Nanomaterials-Based Electrochromics for Paper-Quality Displays", Adv. Mater, 14(11), 845 (2002)	
	C4	Ballinger, D.O., "Magnetic recording paper is erasable", Electronics, March 1, 1973, pages 73-	
	C5	Beers, K. L., et al., "Atom Transfer Radical Polymerization of 2-Hydroxyethyl Methacrylate", Macromolecules, 32, 5772-5776 (1999)	
	C6	Beilin, S., et al, "2000-Character Electrophoretic Display", SID 86 Digest, 136 (1986)	
	C7	Bohnke et al., "Polymer-Based Solid Electrochromic Cell for Matrix-Addressable Display Devices." J. Electrochem. Soc., 138, 3612 (1991)	
	C8	Boston Herald, "E Ink debuts in J.C. Penney Stores", May 3, 1999, page 27	
	C9	Bouchard, A. et al., "High-Resolution Microencapsulated Electrophoretic Display on Silicon", SID 04 Digest, 651 (2004)	
	C10	Bryce, M.R., "Seeing through synthetic metals", Nature, 335. 12 (1988)	

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				Application Number	10/711,829		
INI		ION DIS	CL OSLIDE	Filing Date	October 7, 2004		
	INFORMATION DISCLOSURE		First Named Inventor	Whitesides			
STATEMENT BY APPLICANT		Art Unit	2673				
	(Using as m	nany sheets as	s necessary)	Examiner Name	Choi, William C.		
Sheet:	20	of:	26	Attorney Docket No.	H-396		

		NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. *1	Document Citation	T*2
	C11	Caillot, E. et al. "Active Matrix Electrophoretic Information Display for High Performance Mobile Devices", IDMC Proceedings (2003)	
··		Mobile Devices , IDMC Floceedings (2003)	
	C12	Chen, Y., et al., "A Conformable Electronic Ink Display using a Foil-Based a-Si TFT Array", SID 01 Digest, 157 (June 2001)	
	C13	Chiang, A., "Conduction Mechanism of Charge Control Agents Used in Electrophoretic Display Devices", Proceeding of the S.I.D., 18, 275 (1977)	
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	C15	Comiskey, B., et al., "An electrophoretic ink for all-printed reflective electronic displays", Nature, 394, 253 (1998)	
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	C17	Croucher, M.D., et al., "Electrophoretic Display: Materials as Related to Performance", Photog. Sci. Eng., 25, 80 (1981)	
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	C19	Danner, G.M. et al., "Reliability Performance for Microencapsulated Electrophoretic Displays with Simulated Active Matrix Drive", SID 03 Digest, 573 (2003)	
	C20	Drzaic, P., et al., "A Printed and Rollable Bistable Electronic Display", SID 98 Digest (1998), page 1131	

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				Application Number	10/711,829
INF	IFORMATION DISCLOSURE Filing Date October 7, 2004				
				First Named Inventor	Whitesides
STATEMENT BY APPLICANT				Art Unit	2673
	(Using as m	nany sheets as	s necessary)	Examiner Name	Choi, William C.
Sheet:	21	of:	26	Attorney Docket No.	H-396

	_,	NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. *1	Document Citation	T*2
	C21	Duthaler, G., et al., "Active-Matrix Color Displays Using Electrophoretic Ink and Color Filters", SID 02 Digest, 1374 (2002)	
	C22	Egashira,. N., et al., "Solid electrochromic cell consisting of Lu-diphthalocyanine and lead fluoride", Proceedings of the SID, 28, 227 (1987)	
	C23	Fitzhenry, B., "Identification of a Charging Mechanism using Infrared Spectroscopy", Appl. Spectroscopy, 33, 107 (1979)	
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	C25	Goodman, L.A., "Passive Liquid Displays: Liquid Crystals, Electrophoretics and Electrochromics, Proceedings of S.I.D., 17, 30 (1976)	
	C26	Gutcho, M.H., "Microcapsules and Microencapsulation Techniques, Noyes Data Corp., Park Ridge NJ, (1976)	
	C27	Hatano, T., et al., "18:3: Bistable Paper-White Display Device Using Cholesteric Liquid Crystals", SID 96 Digest, 269 (1996)	
	C28	Henzen, A. et al., "An Electronic Ink Low Latency Drawing Tablet", SID 04 Digest, 1070 (2004)	
	C29	Henzen, A. et al., "Development of Active Matrix Electronic Ink Displays for Handheld Devices", SID 03 Digest, 176, (2003)	
	C30	Henzen, A. et al., "Development of Active Matrix Electronic Ink Displays for Smart Handheld Applications", IDW'02, 227 (2002)	

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Substitute for	form 1449/PTC)		Cor	nplete if Known
				Application Number	10/711,829
INF	ORMAT	ום אסו	SCLOSURE	Filing Date	October 7, 2004
INFORMATION DISCLOSURE				First Named Inventor	Whitesides
SIA	STATEMENT BY APPLICANT			Art Unit	2673
	(Using as ma	any sheets a	s necessary)	Examiner Name	Choi, William C.
Sheet:	22	of:	26	Attorney Docket No.	H-396

		NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. *1	Document Citation	T*2
	C31	Hou, J., et al., "Active Matrix Electrophoretic Displays Containing Black and White Particles with Opposite Polarities", SID 01 Digest, 164 (June 2001)	
	C32	Jacobson, J., et al., "The last book", IBM Systems J., 36, 457 (1997)	
	C33	Ji, Y., et al., "P-50: Polymer Walls in Higher-Polymer-Content Bistable Reflective Cholesteric Displays", SID 96 Digest, 611 (1996)	
	C34_	Jin et al., "Optically Transparent, Electrically Conductive Composite Medium", Science, 255, 446 (1992)	
	C35	Jo, G-R, et al., "Toner Display Based on Particle Movements", Chem. Mater, 14, 664 (2002)	
	C36	Kazlas, P. et al., "Card-size Active-matrix Electronic Ink Display", Eurodisplay 2002, 259 (2002)	
	C37	Kazlas, P., et al., "12.1" SVGA Microencapsulated Electrophoretic Active Matrix Display for Information Applicances", SID 01 Digest, 152 (June 2001)	
	C38	Kitamura, T., et al., "Electrical toner movement for electronic paper-like display", Asia Display/IDW '01, page 1517, Paper HCS1-1 (2001)	
	C39	Lee, L.L., "Fabrication of Magnetic Particles Displays", Proceedings of the SID, 18, 283 (1977)	
	C40	Lewis et al., "Gravitational, Inter-Particle and Particle-Electrode Forces in the Electrophoretic Display", Proceedings of the SID, 18, 235 (1977)	

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Signature	 Date Considered	

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		Application Number	10/711,829			
INC		ION DI	SCLOSUDE	Filing Date	October 7, 2004	
INFORMATION DISCLOSURE			- '	First Named Inventor	Whitesides	
STATEMENT BY APPLICANT		APPLICANT	Art Unit	2673		
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Sheet:	23	of:	26	Attorney Docket No.	H-396	

		NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. *1	Document Citation	T*2
	C41	Macosko, C.W., Rheology Principles, Methods, and Applications, VCH Publishers, 1994, p. 481	
	C42	Milner, "Polymer Brushes", Science, 251, 905 (1991)	
	C43	Murau, P., et al., "An Electrophoretic Radiographic Device", SID 79 Digest, (1979) pages46-47.	
	C44	Murau, P., et al., "The understanding and elimination of some suspension instabilities in an electrophoretic display", J. Appl. Phys., 49, 4820 (1978)	
	C45	Negroponte, N., et al., "Surfaces and Displays," Wired, Jan.1997, page 212	
	C46	O'Regan, B. et al., "A Low Cost, High-efficiency Solar Cell Based on Dye-sensitized colloidal TiO2 Films", Nature, Volume 353, October 24, 1991, 773-740	
	C47	Ota, I., et al., "Developments in Electrophoretic Displays", Proceedings of the SID, 18, 243 (1977)	
	C48	Ota, I., et al., "Electrophoretic display devices", Laser 75 Optoelectronics Conference Proceedings, 145 (1975)	
	C49	Ota, I., et al., "Electrophoretic Image Display (EPID) Panel", Proceedings of the IEEE, 61, 832 (1973)	
	C50	Pankove, "Color Reflection Type Display Panel", RCA Technical Notes, March 1962, No. 535	

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STATEMENT BY APPLICANT		Art Unit	2673				
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Sheet:	24	of:	26	Attorney Docket No.	H-396		

		NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. *1	Document Citation	T*2
	C51	Pansu, B., et al., "Structures of Thin Layers of Hard Spheres: High Pressure Limit," J. Physique, 45, 331 (1984)	
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	C54	Peiranski, P., et al., "Thin Colloidal Crystals," Phys. Rev. Lett., 50, 900 (1983)	
	C55	Peterson, I., "Rethinking Ink Printing the Pages of an Electronic Book," Science News, 153, 396 (June 20, 1998)	
	C56	Pitt, M.G., et al., "Power Consumption of Microencapsulated Electrophoretic Displays for Smart Handheld Applications", SID 02 Digest, 1378 (2002)	
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	C58	Saitoh, M., et al., "A newly developed electrical twisting ball display", Proceedings of the SID, 23, 249 (1982)	
	C59	Sankus, "Electrophoretic Display Cell", Xerox Disclosure Journal, 6(3), 309 (1979)	
	C60	Sheridon, N.K., et al., "A Photoconductor-Addressed Electrophoretic Cell for Office Data Display", SID 82 Digest, 94 (1982)	

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Signature	Date Considered		

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Sheet:	25	of:	26	Attorney Docket No.	H-396	_	

		NON-PATENT LITERATURE DOCUMENTS	
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i i	C61	Shiffman, R.R., et al., "An Electrophoretic Image Display with Internal NMOS Address Logic and Display Drivers," Proceedings of the SID, 1984, vol. 25, 105 (1984)	
	C62	Shiwa, S., et al., "Electrophoretic Display Method Using Ionographic Technology," SID 88 Digest (1988), page 61	
	C63	Singer, B., et al., "An X-Y Addressable Electrophoretic Display," Proceedings of the SID, 18, 255 (1977)	
	C64	Tanford, C., Physical Chemistry of Macromolecules, John Wiley and Sons, New York, 1961, pp. 293-296	
	C65	Tsubokawa, N., et al., "Polymerization of vinyl monomers in the presence of silica having surface functional groups", Colloid. Polym. Sci., 271, 940 (1993)	
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
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Substitute for	ubstitute for form 1449/PTO			Cor	nplete if Known	
				Application Number	10/711,829	
INFORMATION DISCLOSURE				Filing Date	October 7, 2004	
				First Named Inventor	Whitesides	
STATEMENT BY APPLICANT		Art Unit	2673			
(Using as many sheets as necessary)				Examiner Name	Choi, William C.	
Sheet:	26	of:	26	Attorney Docket No.	H-306	

NON-PATENT LITERATURE DOCUMENTS								
Examiner Initials*	Cite No. *1	Document Citation	T ²					
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